# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

November 14, 2018

Date of report (Date of earliest event reported)



## **SEI INVESTMENTS COMPANY**

(Exact name of registrant as specified in its charter)

Pennsylvania

(State or other jurisdiction of incorporation)

0-10200

(Commission File No.) 23-1707341 (I.R.S. Employer Identification No.)

1 Freedom Valley Drive Oaks, Pennsylvania 19456 (Address of principal executive offices) (Zip Code)

 $(610)\ 676\text{--}1000$  (Registrants' telephone number, including area code)

Not applicable (Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 7.01. Regulation FD Information.

On November 14, 2018, SEI Investments Company (the "Company") issued a press release announcing changes to its executive leadership to better align the Company's organizational structure to its long-term business and growth strategies. The Company consolidated leadership of its Private Banks and Investment Managers business segments under Steve Meyer, Executive Vice President at SEI. The Company will not change any of its segment reporting in connection with the organizational and leadership changes, and the consolidated areas will maintain their market orientation. As set forth below, the Company is furnishing the press release as Exhibit 99.1 to this Current Report on Form 8-K.

As provided in General Instruction B.2 to Form 8-K, the information furnished pursuant to Items 7.01 and 9.01 hereto shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, nor shall it be deemed incorporated by reference in any filing with the Securities and Exchange Commission, except as shall be expressly provided by specific reference in such filing.

## Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

99.1 Press Release by the Company dated November 14, 2018.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SEI INVESTMENTS COMPANY

Date: November 14, 2018 By: /s/ Dennis J. McGonigle

Dennis J. McGonigle Chief Financial Officer

# EXHIBIT INDEX

Exhibit

Number Description

99.1 Press Release by the Company dated November 14, 2018.



## Company/Media Contact:

Leslie Wojcik SEI +1 610-676-4191 lwojcik@seic.com Pages: 2

#### FOR IMMEDIATE RELEASE

## **SEI Positions Organization for Accelerated Growth**

Company's Unified Processing and Technology Business to Support Markets' Convergence

**OAKS**, **Pa.**, **Nov.** 14, 2018 - SEI (NASDAQ:SEIC) today announced changes to its executive leadership to better align the company's organizational structure to its long-term business and growth strategies. The company consolidated leadership of its Private Banking and Investment Manager Services segments under Steve Meyer, Executive Vice President at SEI. The company will not change any of its segment reporting in connection with the organizational and leadership changes, and the consolidated areas will maintain their market orientation.

This change enables increased alignment of SEI's platforms and solutions in wealth processing and investment administration, as well as accelerates growth through broader market distribution of the company's tightly-integrated services and solutions.

"Our long-term strategy is built on growing in our existing markets, expanding our offerings in those markets, and extending ourselves into new adjacent markets. Guiding us for the last half-century, sustainable growth has been a key driver of our success, and this change in organizational structure and leadership will help us achieve those objectives in our processing and technology business," said Alfred P. West, Jr., SEI CEO and Chairman. "SEI has a unique ecosystem to power global wealth management. As our markets converge, we continue to identify opportunities to innovate, and scale and streamline our technology. We are excited about our future and confident that aligning our organization and leadership team to this strategy will serve our clients, shareholders and employees well."

## **About SEI**

Now in its 50th year of business, SEI (NASDAQ:SEIC) is a leading global provider of investment processing, investment management, and investment operations solutions that help corporations, financial institutions, financial advisors, and ultra-high-net-worth families create and manage wealth. As of Sept. 30, 2018, through its subsidiaries and partnerships in which the company has a significant interest, SEI manages, advises or administers \$920 billion in hedge, private equity, mutual fund and pooled or separately managed assets, including \$339 billion in assets under management and \$576 billion in client assets under administration. For more information, visit seic.com.

### Forward Looking Statements

This press release contains forward-looking statements within the meaning of the rules and regulations of the Securities and Exchange Commission. Our forward-looking statements include discussions about future operations, strategies and opportunities for growth. You should not place undue reliance on our forward-looking statements as they are based on the current beliefs and expectations of our management and subject to significant risks and uncertainties many of which are beyond our control or are subject to change. Although we believe the assumptions upon which we base our forward-looking statements are reasonable, they could be inaccurate. Some of the risks and important factors that could cause actual results to differ from those described in our forward-looking statements can be found in the "Risk Factors" section of our Annual Report on Form 10 -K for the year ended December 31, 2017, filed with the Securities and Exchange Commission and available on our website at https://www.seic.com/investor-relations and on the Securities and Exchange Commission's website (www.sec.gov). We do not undertake to update the forward-looking statements to reflect the impact of circumstances or events that may arise after the date of the forward-looking statements. There may be additional risks that we do not presently know or that we currently believe are immaterial which could also cause actual results to differ from those contained in our forward-looking statements.

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