

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(D)
OF THE SECURITIES EXCHANGE ACT OF 1934**

March 29, 2021
Date of report (Date of earliest event reported)

SEI New ways.
New answers.®

SEI INVESTMENTS COMPANY
(Exact name of registrant as specified in charter)

Pennsylvania
(State or Other Jurisdiction
of Incorporation)

0-10200
(Commission
File Number)

23-1707341
(I.R.S. Employer
Identification No.)

1 Freedom Valley Drive
Oaks, Pennsylvania 19456
(Address of Principal Executive Offices and Zip Code)

(610) 676-1000
(Registrants' Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol	Name of each exchange on which registered
Common Stock, par value \$0.01 per share	SEIC	The NASDAQ Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 7.01. Regulation FD Information.

On Monday, March 29, 2021, SEI Investments Company (the “Company”) acquired the Oranj cloud-native technology platform providing digital collaboration tools to financial advisors. The acquisition is not a significant acquisition of assets or otherwise material to the operations or financial results of the Company. A copy of the press release is furnished as Exhibit 99.1 and incorporated into this Item 7.01 by reference.

As provided in General Instruction B.2 to Form 8-K, the information furnished pursuant to Item 7.01 and Exhibit 99.1 hereof shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, nor shall it be deemed incorporated by reference in any filing with the Securities and Exchange Commission, except as shall be expressly provided by specific reference in such filing.

This Current Report on Form 8-K may contain forward looking statements within the meaning or the rules and regulations of the Securities and Exchange Commission. In some cases you can identify forward-looking statements by terminology such as “may”, “will”, “expect”, “believe” and “continue” or “appear.” You should not place undue reliance on our forward-looking statements as they are based on the current beliefs and expectations of our management and subject to significant risks and uncertainties many of which are beyond our control or are subject to change. Although we believe the assumptions upon which we base our forward-looking statements are reasonable, they could be inaccurate. Some of the risks and important factors that could cause actual results to differ from those described in our forward-looking statements can be found in the “Risk Factors” sections of our Annual Report on Form 10-K for the year ended December 31, 2020 filed with the Securities and Exchange Commission.

Item 9.01. Financial Statements and Exhibits.

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release of SEI Investments Company
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SEI INVESTMENTS COMPANY

Date: March 31, 2021

By: /s/ Dennis J. McGonigle
Dennis J. McGonigle
Chief Financial Officer

Press Release

SEI New ways.
New answers.®

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FOR IMMEDIATE RELEASE

SEI Acquires Oranj Platform to Digitize Collaboration for Financial Advisors

Technology Designed to Help Advisors Build Better Financial Futures for Clients

OAKS, Pa., March 31, 2021 – SEI (NASDAQ:SEIC) today announced the acquisition of Oranj’s cloud-native technology platform providing digital collaboration tools to financial advisors and their clients. For advisors working with Independent Advisor Solutions by SEI, the acquisition is expected to unlock new opportunities for tech-forward client engagement, including a client portal that delivers the financial insights investors have come to expect in a digital-first world.

“From shifting investor expectations to a rapidly evolving technology landscape, financial advisors are facing radical change. They have an opportunity—and a responsibility—to build better financial futures for their clients. We’re committed to helping empower advisors’ transformations by putting the tools in their hands for meaningful client conversations,” said Erich Holland, Head of Distribution and Engagement for Independent Advisor Solutions. “We’re excited to add Oranj’s intuitive technology platform to SEI’s suite of solutions. We believe that bringing these valuable tools to our clients will enable advisors to more dynamically engage with their clients and help build investors’ confidence in the impact their financial futures can make.”

A modern platform that streamlines portfolio management and client service, Oranj’s key features include:

- Investor-facing portal that provides an interactive view of a client’s entire financial life
- Messaging feature designed to provide direct communication and eliminate lengthy meetings
- Digital collaboration tools for advisors to work with their clients to adjust goals, share documents, and more
- Streamlined portfolio management and implementation within a single workflow
- Rebalancing and trading to simplify the day-to-day management of clients’ investments
- Client/prospect management to power an advisor’s practice and elevate client service
- Model marketplace for advisors to build investment solutions and utilize pre-built solutions

The Oranj technology platform is intended to complement the SEI Wealth PlatformSM, a single-infrastructure technology solution that supports an end-to-end wealth management experience, including custody services, model management and trading. The integration of Oranj’s assets is

expected to position SEI to provide an enhanced, digital experience across every aspect of an advisor's business, including the full lifecycle of client engagement.

"The wealth management sector continues to undergo increased pressures to digitize the investor experience. We believe that Oranj's technology integration with the SEI Wealth Platform will make a significant difference in the way advisors can engage and interact with their clients, as well as provide the transparency and insight investors need for their financial decision-making," said Kevin Crowe, Head of Product Development for Independent Advisor Solutions. "We also look forward to welcoming to SEI a talented team with in-depth knowledge of the independent advisor market's technology needs."

As part of the transaction, Oranj Founder and CEO David Lyon joined SEI, alongside 13 engineers, developers, cloud specialists and client service personnel to support a seamless transition.

"We built Oranj with the firm belief that the single most powerful way to help people accomplish their personal and financial goals is by working *with* a financial advisor—not replacing them. I'm proud to continue the work we started in 2014 with SEI," said Lyon. "The SEI team shares our profound dedication to the advisor community and to delivering solutions for human-driven, technology-enabled wealth management."

About Independent Advisor Solutions by SEI

Independent Advisor Solutions by SEI provides independent financial advisors with wealth management services through outsourced investment strategies, administration and technology services, and practice management programs. It is through these services that SEI helps advisors save time, grow revenues, and differentiate themselves in the market. With a history of financial strength, stability, and transparency, Independent Advisor Solutions has been serving the independent financial advisor market for more than 25 years, has 7,500 advisors who work with SEI, and \$75.1 billion in advisors' assets under management (as of Dec. 31, 2020). Independent Advisor Solutions is a strategic business unit of SEI. For more information, visit seic.com/advisors.

About SEI

After 50 years in business, SEI (NASDAQ:SEIC) remains a leading global provider of investment processing, investment management, and investment operations solutions designed to help corporations, financial institutions, financial advisors, and ultra-high-net-worth families create and manage wealth. As of Dec. 31, 2020, through its subsidiaries and partnerships in which the company has a significant interest, SEI manages, advises or administers approximately \$1 trillion in hedge, private equity, mutual fund and pooled or separately managed assets, including approximately \$369 billion in assets under management and \$787 billion in client assets under administration. For more information, visit seic.com.

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